Quantum Key Distribution and Quantum Authentication Based on Entangled State

Bao-Sen Shi, Jian Li, Jin-Ming Liu, Xiao-Feng Fan and Guang-Can Guo

Laboratory of Quantum Communication and Quantum Computation, Department of

Physics

University of Science and Technology of China

Hefei, 230026, P. R. China

Using the previously shared Einstein-Podolsky-Rosen pairs, a proposal which can be used to distribute a quantum key and identify the user's identification simultaneously is presented. In this scheme, two local unitary operations and the Bell state measurement are used. Combined with quantum memories, a cryptographic network is proposed. One advantage is no classical communication is needed, which make the scheme more secure. The secure analysis of this scheme is shown.

03.67.Dd, 03.65.Bz

I. INTRODUCTION

Perfectly secure communication between two parties can be achieved if they share beforehand a common random sequence of bits (a key), so how to distribute a secret key is very important for secure communication. In classical cryptography, there is nothing to prevent an eavesdropper from monitoring the key distribution channel passively without being caught by the legitimate users. In quantum cryptography, quantum key distribution (QKD) [1] has been proposed as a new solution to this problem. QKD is a technique that permits two parties, who share no secret information initially, to establish a shared secret sequence of bits, its security is based on quantum law, such as "no-cloning" theorem. Since the publication of the BB84 protocol [1], QKD has developed into a well-understood application of

quantum mechanics to cryptography. Many theoretical schemes have been proposed [2-5] and many experiments have been done [6-10].

Typically, QKD schemes depend either on an unjammable classical communication channel or on authentication of the classical communication by classical methods. Generally, the assumption that the classical communication channel is unjammable seems unpractical, so the key authentication is very important for the security. Recently, several quantum authentication (QA) schemes [11-16] have been proposed. *Dusek et al* [11] presented a proposal based on the combination of classical identification procedure and QKD. In the proposals [12,13], the parties initially share entanglement. Another kind of proposal is based on entanglement catalyst [14,15]. In these schemes, either unjammable classical communication is needed [11-15], or legal users previously share a sequence of secret bits [11, 13]. In this paper, we present a scheme, by which, QKD and QA can be realized simultaneously. In this scheme, some Einstein-Podolsky-Rosen (EPR) pairs are previously shared by two parties, called Alice and Bob. When they want to establish a sequence of secret keys, any party, for example Bob, sends his particles back to Alice after he does one of two local unitary operations I and σ_x randomly, where $I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$, $\sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$. After having received particles from Bob, Alice makes a Bell state measurement on two particles belong in original EPR pair. By this way, Alice and Bob can share a sequence of keys, at the same time, Alice and Bob can identify the identification of each other. In this scheme, only previously shared EPR pairs are needed. During the QKD and QA, compared to other schemes, no classical communication and previously shared secret bits are needed if the quantum channel is error-free. Furthermore, this scheme can be used to transmit information directly because of no discarded bits during the transmission in the case of the error-free channel.

If this scheme is combined with the quantum memories [17], QKD between any pair of parties can be realized. No classical communication is needed in the network in the case of error-free channel.

In section II, we present a new two-party quantum cryptographic scheme, which can be

realize simultaneously QKD and QA. In section III, we present a quantum network based on this scheme with the addition of quantum memories. In section IV, we give a brief discussion and conclusion.

II. A TWO-PARTY QKD AND QA SCHEME

Suppose that Alice and Bob have previously shared K pairs entangled states in

$$\Psi^- = \frac{1}{\sqrt{2}}[|01\rangle - |10\rangle], \tag{1}$$

where the first particle is held by Alice and the second particle is held by Bob. QKD and QA in this scheme consist of the following steps.

1. Bob performs randomly one of two local unitary operations I and σ_x on his particle in each EPR pair.

2. Bob sends his particle back to Alice.

3. After having received this particle, Alice does a Bell state measurement on the particle from Bob and the particle from herself, these two particle initially belong in the same EPR pair Ψ^- .

If Bob performs the unitary operation I on the particle belong in him, the state Ψ^- holds unchanged. If the unitary operation performed by Bob is σ_x, the state Ψ^- will be transformed into state Φ^-, where $\Phi^- = \frac{1}{\sqrt{2}}[|00\rangle - |11\rangle]$, which is another Bell state. The other two Bell states are $\Psi^+ = \frac{1}{\sqrt{2}}[|01\rangle + |10\rangle]$ and $\Phi^+ = \frac{1}{\sqrt{2}}[|00\rangle + |11\rangle]$ respectively. So when Alice does a Bell state measurement, she should only get the result of state Φ^- or Ψ^- if no eavesdropper exists.

4. After having completed transmission, Alice and Bob let state Ψ^- and the unitary operation I correspond to binary "0", the state Φ^- and the unitary operation σ_x correspond to binary "1", then they can share a key, at the same time, identification of Bob is authenticated. In order to identify Alice, this scheme needs a small revision. We let Bob send a certain number of particles back to Alice, alternatively, let Alice send next certain number

of particles back to Bob. Of course, Alice also does one of two unitary operations I or σ_x on the particle belong in her before she sends the particle back to Bob. Bob does the same measurement to identify Alice. The number is decided before transmission by Alice and Bob, and it is public to everyone, not secret. By this way, we can realize the QKD and QA simultaneously.

Obviously, no classical communication and no previously shared bits are needed in this scheme, which may make our scheme more secure. Furthermore, no discarded bits makes this scheme transmit the information directly in the case of error-free channel.

Now, we discuss the security of our scheme. Firstly, we analyze the intercept/resend strategy. When Alice or Bob sends particle back to each other, an eavesdropper Eve may intercept this particle and resend a fake particle instead according to her measurement result. For example, when Bob sends his particle back to Alice, Eve intercepts it . Because the state of particle belong in Bob is

$$\rho_B = Tr_A \rho_{\Psi^-} = Tr_A \rho_{\Phi^-} = \frac{1}{2}\{|0\rangle\langle 0| + |1\rangle\langle 1|\}, \tag{2}$$

Eve can not get any information by this way. If Eve resends a fake particle to Alice, for example, this fake particle is in the state $\phi_E = c|0\rangle + d|1\rangle$, where, $|c|^2 + |d|^2 = 1$. When Alice receives this particle, she does a Bell state measurement on this fake particle and the particle belong in herself. The state of the fake particle and the particle of Alice's is

$$\rho_{AE} = \frac{1}{2}\{|0\rangle\langle 0| + |1\rangle\langle 1|\} \otimes \{c^2|0\rangle\langle 0| + cd^*|0\rangle\langle 1| + c^*d|1\rangle\langle 0| + d^2|1\rangle\langle 1|\}. \tag{3}$$

If Alice does a Bell state measurement on these two particles, she will get any one of four Bell states with equal probability 1/4. If Alice gets the result state Ψ^+ or Φ^+, she can conclude that eavesdropper exists.

Now, we discuss another strategy. Suppose when Bob sends his particle back to Alice, Eve performs a CONTROLLED-NOT (CNOT) operation . The control particle is the particle from Bob, the target is an ancillary particle $|0\rangle_E$ owned by Eve. If the control particle is $|0\rangle$, the target particle holds unchanged. If the control particle is $|1\rangle$, the target particle flips. After CNOT operation, the state of three particle is

$$\Psi_{AB}^- \otimes |0\rangle_E \overset{CNOT}{\rightarrow} \frac{1}{\sqrt{2}}[|011\rangle - |100\rangle]_{ABE} \tag{4}$$

or

$$\Phi_{AB}^- \otimes |0\rangle_E \overset{CNOT}{\rightarrow} \frac{1}{\sqrt{2}}[|000\rangle - |111\rangle]_{ABE}. \tag{5}$$

Then Eve holds the ancillary particle and let the particle from Bob to pass to Alice. After having received the particle from Bob, Alice does the Bell state measurement . If Bob makes I unitary on his particle, then Alice can get the state Ψ^- or the state Ψ^+ with the probability 50% respectively. As we knows, no result Ψ^+ can be got if no eavesdropper exists. If Bob makes the unitary operation σ_x on his particle, then Alice will get the result Φ^- or Φ^+ with the probability 1/2 respectively. The result Φ^+ should not appear if no eavesdropper exists. So, if Eve uses this strategy, she can not get any information at all and legal users will detect her.

Can Eve learn any information from the ancillary particle? the answer is no. Whether the unitary operation is I or σ_x, the state of the ancillary particle is the same, which is $\rho_{A} = \frac{1}{2}\{|0\rangle\langle 0| + |1\rangle\langle 1|\}$, so Eve can not get any information from the ancillary particle.

According to the above analysis, our scheme is secure if the error-free channel is used.

III. A QUANTUM NETWORK BASED ON THIS SCHEME WITH THE ADDITION OF QUANTUM MEMORIES

In this section, we combine the two-party QKD scheme with the use of quantum memories to present a quantum cryptographic network. Our scheme is similar to the scheme of Ref. [17], in which a quantum file owned by the center is needed. Of course, some differences exist between these two schemes. Our scheme can be summaried as the follows:

1. In the preparation step the user prepares L pairs EPR states Ψ^- and sends one particle of each EPR pair to the center, holds the other particle of each EPR pair by himself. The center keeps these particles in a quantum file without measuring them.

2. When users Alice and Bob wish to obtain a common secure key, they ask the center to create correlation between two strings, one of Alice and one of Bob. The center perform the Bell state measurement on each pair of qubit, which realize the entanglement swapping. After that, Alice and Bob share a EPR pair. What the Bell state will be obtained depends on the result of the Bell state measurement performed by the center. For example, if the Bell state measurement result performed by the center is Ψ^-, the Bell state shared by Alice and Bob is Ψ^- too. Then Alice and Bob can realize the QKD with the previous scheme in section II. The table I summaries the results obtained by the center, state shared by Alice and Bob, the unitary operations and Bell state measurement performed by Alice or Bob, corresponding binary number.

Table I

center state	Alice and Bob state	unitary operation	Bell state measurement	binary number
Ψ^-	Ψ^-	I or σ_x	Ψ^- or Φ^-	0 or 1
Ψ^+	Ψ^+	I or σ_x	Ψ^+ or Φ^+	0 or 1
Φ^-	Φ^-	I or $i\sigma_y$	Φ^- or Ψ^+	0 or 1
Φ^+	Φ^+	I or $i\sigma_y$	Φ^+ or Ψ^-	0 or 1

The reason that when state shared by Alice and Bob is Φ^+ or Φ^-, the unitary operation is $i\sigma_y$ instead of σ_x will be shown in the following.

3. An honest center, which perform the correct entanglement swapping does not get any information on the strings.

4. If a cheating center (or any eavesdropper who might have had access to the quantum files), who modifies the allowed sates, unavoidably introduces error between the two strings. The analysis about this case is similar to Ref. [17]. There is another case, in which the center does not tell the correct Bell state measurement result, for example, he tells Alice and Bob that the result is Φ^+ instead of correct result Ψ^+. In this case, if the unitary operation I or σ_x is used to distribute QKD, the strategy of the center will be successful, because $\Psi^+ \xrightarrow{I} \Psi^+$, $\Psi^+ \xrightarrow{\sigma_x} \Phi^+$, $\Phi^+ \xrightarrow{I} \Phi^+$, $\Phi^+ \xrightarrow{\sigma_x} \Psi^+$. Obviously, if the center uses this

strategy, then Alice and Bob can not detect him and will share a reversed binary number. In order to resolve this problem, we use the unitary operator $i\sigma_y$ instead of σ_x. If the center is so careless that he make a Bell measurement on the pair consisted of particle from Alice and particle from other user, not from Bob, by this scheme, this mistake can be detected by Alice and Bob. Because Alice and Bob do not share the EPR pair, when Alice or Bob does a Bell state measurement on their particle, the result which should not appear will be obtained with certain probability. There is another complicated strategy, in which, the center let unlegal user named Charley as a legal user Bob, and make Alice and Charley share EPR pairs instead of Alice and Bob. Besides, the center let Charley as legal user Alice and make Charley and Bob share another EPR pairs. When Alice (Bob) sends back her (his) particles to Bob (Alice), Charley can intercept them and make a Bell state measurement, then resends his particles back to Bob (Alice) according to his result of the measurement. Obviously, our scheme is useless to this kind of strategy. This means the authentication in the network depends mainly on the center.

IV. DISCUSSIONS AND CONCLUSIONS

A two-party scheme can distribute a key and identify the user's identification simultaneously.. One advantage of this scheme is only previously shared EPR pairs are needed, no classical communication and previously shared classical secret key are needed. Besides, this scheme can be used to transmit the information directly in the case of error-free channel. Based on this scheme with the addition of the quantum memories, a network similar to the scheme of Ref. [17] can be realized. Contrast to the Ref. [17], no classical communication is needed. Besides, no qubit is discarded in the case of error-free channel. One of another advantage is no information is included in EPR pair before QKD. One disadvantage is quantum channel is needed, and any user needs to keep quantum state, which make this scheme complicated. Our scheme seems like a public-key cryptsystem. The unitary operations can be regraded as public key and the secret key is EPR correlation. One problem is how to

distribute EPR pairs, one possible way is present in Ref. [18]. In practice, one disadvantage of our scheme is how to realize a completely Bell state measurement. Very recently, *Kim et al* [19] proposed a scheme which can solve this problem. Another disadvantage is the storage of the EPR pair , which is also serious problem in quantum computer. Now, it is already possible to keep the quantum state in the spin of the ions for more than 10 Min. [20]and in principle it is possible to keep them for years. So, Our scheme may be implementable in practice.

---

[1] C.H.Bennett, G.Brassard, in proc IEEE int conf on Computers System,and Signal Processing, Bangalore (IEEE, New York, 1984)P175

[2] A.K.Ekert, Phys.Rev.Lett 67 (1991)661

[3] C.H.Bennett, Phys.Rev.Lett 68 (1992)3121

[4] G-C Guo and B-S Shi, Phys. Lett. A, 256,109 (1999)

[5] B.-S Shi, Y.-K Jiang and G.-C Guo, Appl. Phys. B, 70, 415, (2000)

[6] W. T. Buttler, et. al., Phys. Rev. Lett., 81, 3283, (1998)

[7] A. Muller, et. al., Appl. Phys. Lett., 70, 793, (1997)

[8] P. D. Townsend, Elec. Lett., Vol. 33, No. 3, 188, (1997)

[9] A. V. Sergienke, et. al, Phys. Rev, A., 60, R2622, (1999)

[10] J. D. Franson and B. C. Jacobs, Elec. Lett., 31, 232, (1995)

[11] M. Dusek, et. al., Phys. Rev. A., 60, 149, (1999)

[12] G. Zeng and W. Zhang, Phys. Rev. A, 61, 022303, (2000)

[13] Y.-S Zhang, C.-F. Li and G.-C Guo, e-print arxiv quant-ph 0008044

[14] H. Barnum, e-print arxiv quant-ph 9910072

[15] J. G. Jensa and R. Schack, e-print arxiv quant-ph 0003104

[16] D. Liunggren, M. Bourennane and A. Karlsson, Phys. Rev. A, 62, 022305, (2000)

[17] E. Biham, B, Huttner and T. Mor, Phys. Rev. A., 54, (1996) 2651

[18] Chui-Ping Yang and Guang-Can Guo, Phys. Rev. A., 59, (1999) 4217

[19] Y-H Kim, et. al., e-print arxiv quant-ph 0010046

[20] J. J. Bollinger, et. al., IEEE. Trans. Inst. Meas. , 40, 126, (1997)